



October 31, 2024

To Whom It May Concern:

Penumbra Security, Inc. (Penumbra) is a NVLAP-accredited Cryptographic and Security Testing laboratory (NVLAP Lab Code: 200983-0) under the Cryptographic Module Validation Program (CMVP) at National Institute of Standards and Technology (NIST). Penumbra specializes in conformance testing of cryptographic modules to FIPS 140 Security Level 3 and Security Level 4.

Penumbra hereby asserts that the DataLocker, Inc. DL4FE encrypted portable storage device has successfully completed FIPS 140-3 Overall Security Level 3 conformance testing for hardware modules. The cryptographic module has been found conformant by Penumbra. The conformant versions of this cryptographic module can be found in Table 1.

Table 1 - DL4FE Version

FIPS 140-3 certificate	<i>Pending</i>
Firmware Version(s)	App: 3.07 Bootloader: 1.12
Hardware Version(s)	DL4FE - 500GB HDD DL4FE - 1TB HDD DL4FE - 2TB HDD DL4FE - 1TB SSD DL4FE - 2TB SSD DL4FE - 4TB SSD DL4FE - 7.6TB SSD DL4FE - 15.3TB SSD DL4FE - 1TB SSD - G DL4FE - 2TB SSD - G DL4FE - 4TB SSD - G DL4FE - 7.6TB SSD - G DL4FE - 15.3TB SSD - G

Once submitted the module will be listed on the CMVP Modules in Process List:

<https://csrc.nist.gov/Projects/cryptographic-module-validation-program/modules-in-process/Modules-In-Process-List>

The categories of the Modules in Process List indicate the steps in the validation process. The module's report will reside in the CMVP queue, the report will be reviewed by the CMVP, the review results will be coordinated with Penumbra, the report will be finalized, and the validation certificate will be issued.

The validation certificate will be displayed on the CMVP validated modules website:

<https://csrc.nist.gov/projects/cryptographic-module-validation-program/validated-modules>



The lead time for the CMVP to review and validate reports can vary, and it increases whenever there are transitions in FIPS requirements. The total validation lead time after submission is currently estimated to be at least twelve months.

Please reach out to us if you have any questions about the validation status of this module.

Sincerely,

A handwritten signature in black ink, appearing to read "Martin Downs". The signature is fluid and cursive, with a large initial "M" and "D".

Martin Downs
General Manager